





2009 TRANSITION MANAGEMENT SURVEY

Best In Class

Pre-Trade, Post-Trade, and Organization and Support

	INSTITUTIONAL*	INVESTMENT MANAGERS	CONSULTANTS	NON-U.S.
 TOP RATED	State Street	Russell	J.P. Morgan	Brockhouse & Cooper
 COMMEDED	Mellon	Citi	BNY ConvergEx	State Street

Execution

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Brockhouse & Cooper Inc.

21 Responses

HIGH SCORE: Communication of progress (both timeliness and quality)

LOW SCORE: Explanation of fiduciary role implications

CLIENT TYPE	NUMBER	SECURITY TYPE	(\$MM)
Corporate DB	7	Only U.S. Equities	\$131
Public DB	12	Only Non-U.S. Equities	\$605
Taft-Hartley	0	Global Equities	\$2,867
Endowment/Fdtn	4	Equities and Fixed Income	\$2,300
Defined Contribution	1	Only Fixed Income	\$683
Insurance	9	F/X	\$0
Mutual Funds	5	Total*	\$6,988
Other	0	% INTERNALLY CROSSED	0
Total	38	TRANSITION STAFF**	8

CLIENTS BY MAJOR GEOGRAPHIC AREAS*

U.S./Canada	100%	Japan	0%
U.K./Europe	0%	Asia ex Japan	0%
Middle East	0%	Australia/New Zealand	0%

RISK SYSTEM(S): Internal/Proprietary

COMMENTS: New to this year's survey, Montreal-based Brockhouse & Cooper shone extremely well with high marks throughout from its all-Canadian clients. With a team that is entirely homegrown, Brockhouse was Top-Rated for both Pre-Trade, Post-Trade, and Organization & Support and Execution by Non-U.S. clients. It scored well above average in all four transition areas and was highly rated by institutional clients and investment managers. One client said, "Execution was to our complete satisfaction." Despite the firm's Canadian focus, one public plan client said it was "very satisfied" with Brockhouse, "especially for foreign equity."

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